LARGE AREA SEA MAPPING WITH GROUND-IONOSPHERE-OCEAN-SPACE (GIOS)

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Large Area Ocean Measurements

- HF Ocean Scatter to Satellite
- Factors in Ground/Ionosphere/Ocean/Satellite (GIOS) Model
- Ocean Scatter Components
 - Wave Height Spectrum and Shoaling
 - Fresnel Area Contributions Inside Pulse Illumination Area
 - Skip Distance Caustic
 - Incident and Scattered Polarizations
- Observation Satellites (ePOP)
 - ROTHR Virginia Data with ePOP
 - Features in RTI Spectrum
- Future Measurements CARINA
- Conclusions

Comparison of Ocean Measurement Techniques

- Passive Microwave Imagery
 - Ocean Microwave Emissivity
 - Surface Waves and Foam
 - Temperature and Salinity
 - Wind Speed and Direction
- Ocean Radars
 - Ground Surface Wave HF Radars CODAR and WERA
 - Backscatter
 - Wind Speed and Currents
 - Microwave Scatterometers
 - Altimeters for Topography
 - GPS/GNSS Satellite Receivers for Reflectometry
 - Imaging Radars (SAR)
- Advantages of HF GIOS System
 - Large Area Coverage
 - HF Penetration of Dense Rain in Hurricanes
 - Measurement Resolution Matches Computer Models



Cyclone Global Navigation Satellite System (CYGNSS) Wind Speed Retrieval (Adapted from *Cinzia Zuffada, et al., JPL*)

- 8 Low Earth Orbit Satellites with GPS L-Band Receivers
- Wind Speed from Delay Doppler Mapping (DDM) and Geophysical Model Function (GMF)
- Wind Speed Uncertainty 2 m/s from UK-DMC data (*Gleason, 2013, Clarizia et al.,* 2014) and TechDemoSat-1 data (*Foti et al., 2015*);
- Predicted Wind Speed Uncertainty for CYGNSS is 2 m/s or 10% of Measured Wind (*Clarizia and Ruf, 2015*);





Example of true vs retrieved wind speed for



CYGNSS Surface Wind Speed 29Jul2005 0000 UTC - 10Aug2005 2300 UTC



Ground-Ionosphere-Ocean-Space (GOIS) HF Transmitter *Sky-Wave* Scatter to Low Earth Orbit Satellites



Great Improvement: HF Scatter to Satellite-Receiver Provides Large Area Coverage

HF Propagation Paths in Highly Structured Ionosphere



Ray Propagation Modes to Satellite with Single F-Layer

- O-Mode (LHCP Polarization) and X-Mode (RHCP Polarization) Propagation
- $O \leftrightarrow X$ Mode Change for Specular Scatter while Bragg Scatter Retains Polarization
- Ocean Illumination Region, Incidence and Scatter Angles Shift with Satellite Position



Ocean Surface Scatter Modes IV

- Total Scatter Component from Slightly Rough Surface
 - Sum of Coherent and Incoherent Scatter by Peake and Barrick [1967]
 - Fresnel Zone Scatter Area [Beckmann and Spizzichino, 1963]



Specular Reflections from Land and Sea



1st Order Bistatic Ocean Scatter Theory (Barrick 1972)



 $\sigma_{ab}(\omega) = 4\pi k_0^4 P_{ab} 2^3 S[k_0(\sin\theta_s\cos\varphi_s - \sin\theta_i), k_0\sin\theta_s\sin\varphi_s, \omega - \omega_0]$

 $P_{vv} = (\sin\theta_i \sin\theta_s - \cos\varphi_s)^2, P_{vh} = \cos^2\theta_i \sin^2\varphi_s, P_{hv} = \cos^2\theta_s \sin^2\varphi_s, P_{hh} = (\cos\theta_i \cos\theta_s \sin\varphi_s)^2$

- Wave Height Spectrum $S(\kappa_x, \kappa_y, \omega)$
 - Gravity Wave Dispersion $(\omega^+)^2 = g_1/\kappa_x^2 + \kappa_y^2$
 - First Order Spectrum $S(\kappa_x, \kappa_y, \omega) = S(\kappa_x, \kappa_y) \delta(\omega \omega^+)$
 - Mean Square Wave Height $h^2 = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} S(\kappa_x, \kappa_y) d\kappa_x d\kappa_y$
- One Dimensional Temporal Spectrum $S(\omega) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} S(\kappa_x, \kappa_y) \delta(\omega \omega^+) d\kappa_x d\kappa_y$ Radar Equation $dP_R(\omega) = \frac{P_T G_T G_R \lambda^2}{(4\pi)^3 R_R^2 R_T^2} dS\sigma(\omega) \frac{W}{rad/s}$



Shoaling Influence on Wave Frequency Shifts and Amplitude Increases for Wave Number Spectra

GOIS



GOIS Mapping of Arctic Sea-Ice Transitions

Photo from R/V Lance before wave event, 2010 May 2, 15:48 UTC





Collins, C.O., W. E. Rogers, A. Marchenko, and A. V. Babanin (2015), In situ measurements of an energetic wave event in the Arctic marginal ice zone, *Geophys. Res. Lett.*, **42**.

Broken ice: negligible damping of dominant waves



[Fig. 4] The evolution of S(f) over the course of 5 hours as the ship encountered smaller ice floes. The first spectra is shown in dark blue and later spectra transitioning to aqua. SWAN spectra at this time, with no ice representation, is shown in black for reference.

Factors Affecting Scattered HF Power to Satellite

- Transmitter Power and Antenna Gain
- Ray Path Spreading
 - Transmitter to lonosphere
 - Ionosphere to Ocean
- Scattering from Ocean
 - Wave Number Spectrum of Ocean Wave Height
 - Wind Velocity
 - Ocean Depth and Shoaling (Wave Growth in Shallow Water)
 - Ocean Current
 - Polarization of Incident and Reflected HF Wave
 - Scatter Coefficient, σ_0
 - Specular/Coherent Scatter
 - Incoherent/Bragg Scatter
 - Fresnel Zone Area ($\Delta_{Phase} < \lambda/2$) < Illuminated Area T_P C $\Delta \phi$ R
- Ray Path Spreading and Refraction to Satellite

Fresnel Zone Size and Illumination *Ellipse in Reflecting Plane < \lambda/2 HF Phase Change*



 $A_0 = \pi \Delta x \Delta y \Box 10 \text{ km}^2$

Ocean Scatter Angles and Power

- Incidence Zenith Angle: $\cos \theta_i = \hat{\mathbf{x}}_{Vertical} \Box \hat{\mathbf{k}}_i$
- Scatter Zenith Angle: $\cos \theta_s = \hat{\mathbf{x}}_{Vertical} \Box \hat{\mathbf{k}}_s$
- Scatter Azimuth Angle: $\tan \phi_s = (\hat{\mathbf{k}}_i \times \hat{\mathbf{k}}_s) \Box \hat{\mathbf{x}}_{Vertical} / [\hat{\mathbf{k}}_i \Box \hat{\mathbf{k}}_s (\hat{\mathbf{k}}_i \Box \hat{\mathbf{x}}_{Vertical}) (\hat{\mathbf{k}}_s \Box \hat{\mathbf{x}}_{Vertical})]$
- Vertical-Vertical Polarization Ocean Scatter Cross-Section

 $\sigma_{pq} = 4\pi k_0^4 |\alpha_{pq}|^2 S_k [k_0 \sqrt{(\sin\theta_s \cos\phi_s - \sin\theta_i)^2 + (\sin\theta_s \sin\phi_s)^2}], (p,q) = (L,L) \text{ or } (L,R)$

Amplitude Along Direct Ray Path from Transmitter to Satellite

$$P_{TS} = \frac{W_T G_T}{4\pi |\mathbf{D}_{TS}^{(\theta_x,0)} \times \mathbf{D}_{TS}^{(0,\theta_y)}|}$$

- Amplitude of Ocean Signals
 - Path Divergence through lonosphere to Ocean: $P_{TO} = \frac{W_T G_T}{4\pi D_{TO}^2}$
 - Ocean Scatter Power: $W_{o} = P_{TO}\sigma_{pq}\pi\Delta x\Delta y = \frac{W_{T}G_{T}\sigma_{pq}\pi\Delta x\Delta y}{4\pi D_{TO}^{2}}$

- Ray Path Divergence Ocean to Satellite: $P_{OS} = \frac{W_O}{4\pi |\mathbf{D}_0^{(\theta_x,0)} \times \mathbf{D}_0^{(0,\theta_y)}|}$

Multiple Rays for Flat Earth with Biquadratic Layer



CASSIOPE Satellite with HF Receiver for Ocean Observations



13 April 2015 Ionograms and ePOP Orbit



Range-Time Analysis of Radar Chirp 16 ms Chirp Period with 8.3 kHz Bandwidth







13 April 2015, 23:23:44





13 April 2015, 23:21:05



HF Transmission to Satellite by Direct and Ocean Scatter Paths



LOS DE LO

Naval Center for Space Technology Plasma Physics Division Naval Research Laboratory

Complex Action of Radio-Waves in the Ionosphere for Nonlinear Analysis (CARINA)



TECHNICAL APPROACH and OBJECTIVES

- Unique Long Duration Satellite Flying Below the lonosphere
 - 60 Day Orbit Between 150 and 270 km Altitude
 - Low-Drag, Tubular Satellite (TubeSat)
- NRL Science Objectives
 - Directly Observe Electron Densities Below the F-Layer Peak
 - Sample Natural and Radio Electric Fields
 - Global Map of GPS TEC and Radio Scintillations
- Space Based Augmentation of Ground Facilities
 - Ionospheric Modification High Power Transmitters
 - UHF ISR, HF SuperDARN Radars, Ionosondes

Government and Civilian Applications

- Provide Global Measurements of the Environment
 - World Coverage of E- and F-Regions
 - Storm Time Impacts on Radio Propagation
 - Coupling of Strong Lightning to Ionosphere
 - Impact of Large Scale Ocean Disturbances
 - Low Altitude Satellite Drag Coefficients
- Demonstrate Utility of Sub Ionosphere Orbit for Updating Operational Space Weather Models



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OTH Radar *Sky-Wave* Scatter from Ocean to ePOP and CARINA Satellites



Great Improvement: HF Scatter to Receiver Below Ionosphere Removes Distortions

Simulated Swoosh for 4.8 MHz to a Receiver in a 200 km Orbit



Ocean HF Scatter Conclusions

- HF Scatter and Ionosphere Propagation Tests
 - Single HF Transmitter Covers > 3000 km of Ocean Surface
 - Single Satellite Orbit Samples HF Illuminated Area
 - Brag Scatter from Ocean Through Ionosphere
 - Doppler Shifts and Group Delays Map to Ocean Surface
- Theory of Ocean Scatter
 - Coherent (Specular) and Incoherent (Bragg) Scatter
 - Bistatic Sampling of Global Ocean Surface
 - Realistic Models of Wave Height Spectrum Needed for Simulations
- Experimental Test with ROTHR/VA and ePOP/RRI
 - Data Collected in April and August 2015
 - Interpretation in Terms of Ocean Surface Parameters
- CARINA for 200 km Orbit
 - Program Delay Vehicle Assembly and Launch Selection
 - Planned Tests of the GOIS Concept After Launch